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PTO/SB/21 (08-00)

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TRANSMITT		Application Number	09/852995				
FORM		Filing Date	5/10/2001				
(to be used for all correspondence after	initial filing)	First Named Inventor	Harker				
		Group Art Unit	2167				
·		Examiner Name	Black				
Total Number of Pages in This Sut	omission	Attorney Docket Number	124-003				
	ENCLOSURES	(check all that apply)					
Fee Transmittal Form	Assignr (for an	ment Papers Application)	After Allowance Communication to Group				
Fee Attached	Drawing	g(s) and letter	Appeal Communication to Board of Appeals and Interferences				
Amendment		ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Petition		Proprietary Information				
Affidavits/declaration(s)		to Convert to a nal Application	Status Letter				
Extension of Time Request	Change Address	of Correspondence	Other Enclosure(s) (please identify below):				
Express Abandonment Request		al Disclaimer	Otata (U.s.la. 07 OFD 4 O7(s)				
Information Disclosure Statement	Information Disclosure Statement Request for Refund - Statement Under 37 CFR 1.97(e) - 1449 Form						
Certified Copy of Priority Document(s)	CD, Nu	mber of CD(s)					
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		ease charge any deficiency of ecount No. 502569.	credit any overpayment to Deposit				
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Firm Holmes W. Anderso Steubing McGuinne Individual name							
Signature AM 2.	al						
Date Januar	17, 20	305					
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I hereby certify that this correspondence is be mail in an envelope addressed to Commission Patent and Trademark Office at number (703)	er for Patents, Alex						
Type or printed name Christine M. N	forrissette						
Signature (Mr. Lun)	a ANO	missitive Date	1-18-2005				

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nas (1)	aduation Act of 1005	no persons are requir	red to res	U.S. Patent	and Trac	demark Off	ice: U.S. DE	h 07/31/200 PARTMEN	O/SB/17 (12-04v2) 6. OMB 0651-0032 T OF COMMERCE //B control number
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Nees pursuant to the Co	nsolidated Appropria	tions Act, 2005 (H.R. 4	_	Application Num	ber	09/8529	95	<u> </u>	
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	For FY 2	:	_	First Named Inve	entor	Harker			
	01112			Examiner Name		Black			
Applicant claims	small entity status	. See 37 CFR 1.27	<u> </u>	Art Unit	<u>. </u>	2167			
TOTAL AMOUNT OF	PAYMENT (\$)	180.00		Attorney Docket	No.	124-003	3		
METHOD OF PAY	MENT (check all	that apply)							
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Design	200	100	100	50	13	0	65		
Plant	200	100	300	150	16	0	80		
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3. APPLICATION S If the specification listings under sheets or fract Total Sheets	SIZE FEE n and drawings (37 CFR 1.52(e))	exceed 100 sheets), the application : 35 U.S.C. 41(a)(s of pay size fe (1)(G)	e due is \$250 (\$	125 fo 16(s). or fract	or small ion there	entity) for of <u>Fe</u> e	ence or cor reach add	omputer ditional 50 Fee Paid (\$)
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SUBMITTED BY	all n	11/1		Registration No.			Telenh	one ₉₇₈₋₂₆	4 6664
Signature A	M - 1 D	w		(Attorney/Agent)	51,212		Date		7. 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harker

Serial No.: 09/852995

Filed: 5/10/2001

Title: Recipient Controlled Contact

Directories

Attorney Docket No.: 124-003

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit: 2167

Examiner: Black

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This	Information	Disclosure	Statement i	s submitted
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- under 37 CFR 1.97(b), or
 (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
 - ___ Statement under 37 CFR 1.97(e), or
 - a \$180.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- X under 37 CFR 1.97(d) together with a:
 - X Statement under 37 CFR 1.97(e), and
 - X a \$180.00 fee set forth in 37 CFR 1.17(p).

 (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

ENT & Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

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1-18-2005

Typed Name: Christine M. Morrissette

Holmes W. Anderson«

Attorney/Agent for Applicant(s)

Reg. No. 37,272

Date: January 17, 2005

Telephone No.: 978-264-6664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 2 1 2005

Inventor(s): Harker

Serial No.: 09/852995

Filing Date: 5/10/2001

Title: Recipient Controlled Contact

Directories

Attorney Docket No.: 124-003

COMMISSIONER FOR PATENTS

P.O. Box 1450

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Examiner: Black

Group Art Unit: 2167

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
 - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

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Date of Deposit: 1-18-2005

Typed Name: Christine M. Morrissett

Signature: Muster ha Morrisello

Holmes W. Anderson

Attorney/Agent for Applicant(s)

Reg. No.: 37,272

Date: January 17, 2005

Telephone No.: 978-264-6664

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Complete if Known Substitute for form 1449A/PTO 09/852995 **Application Number** INFORMATION DISCLOSURE Filing Date 5/10/2001 First Named Inventor Harker STATEMENT BY APPLICANT Art Unit 2167 (Use as many sheets as necessary) **Examiner Name Black** 4 124-003 Sheet of Attorney Docket Number

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number - Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,373,549	12/13/1994	Bales et al.	
		US-5,768,513	06/16/1998	Kuthyar et al.	
		US-6,243,398	06/05/2001	Kahane et al.	
		US-5,737,011	04/07/1998	Lukacs	
		US-5,896,128	04/20/1999	Boyer	
		US-5,742,905	04/21/1998	Pepe et al	
		US-6,148,328	11/14/2000	Cuomo et al.	
		US-6,510,461 B1	01/21/2003	Nielsen	
		US-6,006,253	12/21/1999	Kumar et al.	
		US-6,370,233 B1	04/09/2002	Bennett, III et al.	
		US-6,430,604	08/06/2002	Ogle et al.	
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		US-6,356,934	04/28/1997	Delph, Daniel C.	
		US-6,286,029	09/04/2001	Delph Daniel C	
		US-5,742,668	04/21/1998	Pepe et al.	
		US-5,197,092	03/23/1993	Bamburak	
		US-6,584,314	06/24/2003	Haumont et al.	
		US-3,816,668	06/1974	Giesken	
		US-5,432,841	07/11/1995	Rimer	
		US-5,696,900	12/09/1997	Nishigaya	The state of the s
		US-6,023,762	02/08/2000	Dean et al.	

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶					
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			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document.	Pages, Columns, Lines, Where Relevant Passages or Relevant
initiais	NO.	Number – Kind Code ^{2 (# known)}			Figures Appear
		US-6,301,339	10/09/2001	Staples et al.	
		US-6,437,818	08/20/2002	Ludwig et al.	
		US-6,457,060	09/24/2002	Martin et al.	
		US-4,740,788	04/26/1988	Konneker	
		US-5,206,901	04/27/1993	Harlow et al.	
		US-6,058,178	05/02/2000	McKendry et at.	
		US-6,104,799	08/15/2000	Jain et al.	
		US-5,197,092	03/23/1993	Bamburak	
		US-5,946,386	08/31/1999	Rogers et al.	
		US-6,330,317	12/11/2001	Garfinkel	
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		US-2002/0114431	08/22/2002	McBride et al.	
4		US-6,628,194	09/30/2003	Hellebust et al.	,
		US-6,564,321	05/13/2003	Bobo II	,
		US-6,424,841	07/23/2002	Gustafsson	
		US4,602,129	07/22/1986	Matthews et al.	,
		US-6,333,973	04/23/1997	Smith et al.	
		US-5,608,786	03/04/1997	Gordon	

	FOREIGN PATENT DOCUMENTS										
5		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,						
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	17⁵					
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				First Named Inventor	Harker
	STATEMENT BY APPLICANT			Art Unit	2167
	(Use as many sheets as nec	essary)		Examiner Name	Black
She	et 3	of	4	Attorney Docket Number	124-003

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		NORTEL NETWORKS, Nortel's Leadership Drive in Wireless Internet, Nortel's Technology Perspectives, Monday, October 19, 1998, 11 Pages, Corporate and Technology Communications, Ottawa, Canada.	
***************************************		One page photo copy of a newspaper article. Financial Times June 9, 1999.	
		12 pages downloaded frorm http://:ww.icq.com on August 11, 1999.	
		4 pages downloaded form http://messenger.msn.com on August 11, 1999	
		3 pages downloaded form http://ww.generalmagic.com on August 11, 1999	
		S pages downloaded from http/www.wildfire.com on August 11,1999	
		7 pages downloaded from http://www.oz.com/pulse, August 1999	
		2 pages downloaded from http://www.lucent.com on April 6, 2000 comprising a press release date June 9, 1999.	
		GUIDO APPENZELLER ET AL., The Mobile People Architecture, Technical Report: CSL-TR-00000, January 1999, 13 pages.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
		G. MOHR ET AL., PIP-DEMO: An Interoperable Presence Information Protocol, August 1998.	
		SHINGO FUJIMOTO ET AL., IDentity Infrastructure Protocol (IDIP) August 6, 1998, 20 pages.	

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Substitute for form 1449B/PTO				Complete if Known		
				Application Number	09/852995	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	5/10/2001	
				First Named Inventor	Harker	
STATEMENT OF APPLICANT			MIN I	Art Unit	2167	
(Use as many sheets as necessary)				Examiner Name	Black	
Sheet	4	of	4	Attorney Docket Number	124-003	

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Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T		
BHASKARAN RAMAN ET AL, The Iceberg Project: Defining the IP and Telecom Intersection, IT Pro Novem 1999, 8 pages.					
		S. AGGARWAL, ET AL., Presence Information Protocol Requirements, August 7, 1998, 4 pages			
		MARTIN CALSYN, Rendezvous Protocol, November 21, 1997, 21 pages.	 -		
		CALSYN & DUSSEAULT, Presence Information Protocol Requirements, February 9, 1998, 13 pages.			
нитивни ашибините		ROSENBERG ET AL., SIP For Presence, November 13, 1998, 18 pages.			
		ANTHONOY D. JOSEPH ET AL., The Case for Services over Cascaded Networks, October 30, 1998, 9 pages.			
——————————————————————————————————————		DAVID G. BOYER ET AL, The Personal Presence System - A Wide Area Network Resource for the Real Time Composition of Multipoint Multimedia Communications, October 1994, 8 pages.			
		5 pages downloaded from www.ruel.net/top/box.news.peach.viewgraphics.990910.htm, August 17, 2003 7,			
		MARK DAY ET AL, Instant Messaging/Presence Protocol Requirements, August 13, 2003, 19 pages.	ļ		
ALE LUMB IV AN ALEXANDER		KEITH PLEAS, The Evolution of NT-DCOM in NT 5.0, Windows & Net Magazine Network, September 1996, 2 pages.			
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Examiner	Date	
Signature	Considered	

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